JUNO2: US-Japan Collaborative Project STEAM: Secure and Trustworthy Framework for Integrated Energy and Mobility in Smart Connected Communities

PI Meeting (August 2021)







Our Super Team



MISSOURI

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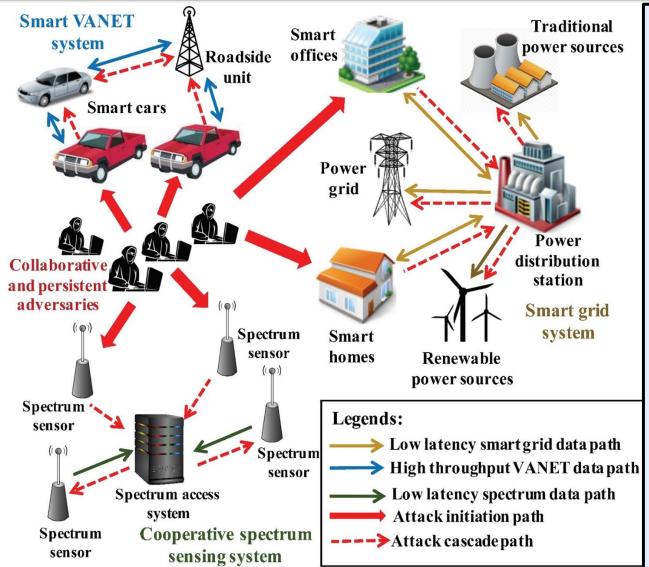
Abhishek Dubey



Keiichi Yasumoto



Security in a Smart City Scenario



Smart Mobility and Smart Energy:

- Interdependent, societally critical CPS networks
- Ensuring safety, resilience and privacy preservation

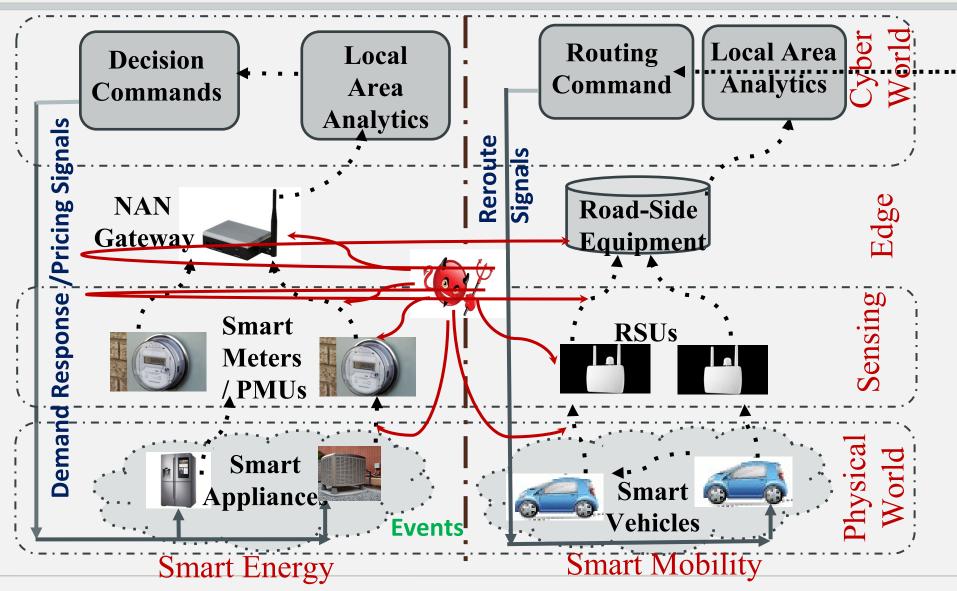
Unique Challenges in Securing S & CC

- Large variations in individual endpoint data due to human behavior and activity differences.
- No strict stationarity over time or space.
- Heterogeneous time granularities of sensing and network sizes.

Goals and Novelty of STEAM Project:

- Develop integrated frameworks, algorithms and models to address security, dependability and trustworthiness challenges in mobility and energy under various threats.
- Design lightweight resilient anomaly detection and privacy preserving encryption schemes & middleware architecture.
- Trust building in S & CC applications; efficient mechanisms to handle conflicting goals of identifying anomalies; trade-off between security, privacy and integrity at scale.
- Efficient co-design and calibration of encryption and robust anomaly detection schemes.

Energy and Mobility: Integrated CPS View



STEAM Project: Intellectual Merit

Thrust 1: Secure and Trustworthy Decision Making under Uncertainty (<u>Bhattacharjee</u>, <u>Das</u>)

- Task 1.1: Lightweight Anomaly Detection: Fast, efficient and accurate decentralized anomaly detection for compromised smart meters and transportation sensors under stealthy attacks, using Pythagorean means and long short-term memory (LSTM) networks.
- Task 1.2 Trust Models: Trust scoring models for diagnosing device compromisation with attack margins much below standard deviation.
- Task 1.3: Trustworthy Decision Making: Dependable decisions

Thrust 4: Developing a Secure and Trustworthy Middleware Architecture (Dubey, <u>Yasumoto</u>)

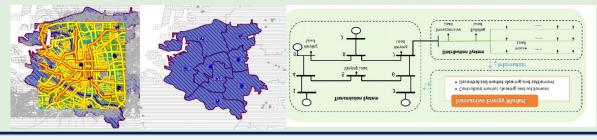
- Task 4.1: Distributed Aggregation: Developed a middleware platform for SCC apps via distributed processing at edge nodes
- Task 4.2: Secure Anonymization: Developed a secure anonymization mechanism for smart mobility apps
- Task 4.3: Decision Making under Trade-offs: Balance query throughput, route accuracy, and privacy protection level

Thrust 2: Privacy-Preserving Computations using FHE (<u>Yamana</u>, Bhattacharjee, Das)

- Task 2.1: FHE (Complex) Calculations: Efficient schemes to compute FHE for privacy preserving decisions
- Task 2.2: Handling Range Search: Table lookup with noncolluding server for calculations at aggregator for higher speed-up;
- Task 2.3: Applying FHE to Secure Decisions: Approximate Homomorphic Encryption (HE) to leverage floating-point arithmetic (e.g., log computation) over encrypted data.

Thrust 5: Validation With Real Datasets for Smart Mobility and Energy (Yamaguchi, Dubey)

- Task 5.1: Smart Transportation Application: Large scale road traffic data collected from Osaka, Japan and Nashville, USA.
- Task 5.2: Smart Energy Application: Transactive energy testbed.



Thrust 1 Results: (Shameek <u>Bhattacharjee</u>, S. K. <u>Das</u>)

Secure and Trustworthy Decision Making under Uncertainty

Tasks:

1.1 Lightweight Anomaly Detection1.2 Stochastic Trust Models1.3 Dependable Decision Making

Task 1.1 Anomaly Detection

Threat Model:

- Attacks from sensing layer affect operations in smart energy and mobility systems
- Special Events such as data omission energy traffic accidents mobility

Macro Level: designed for large scale decentralized anomaly detection in real time for energy and transportation *Pythagorean* Mean

Key Theory → Schur Ostrowski Criterion $(x_i - y_i) \left(\frac{\partial f}{\partial x_i} - \frac{\partial f}{\partial y_i}\right) \ge 0 \rightarrow \text{Schur Convexity}$

2015

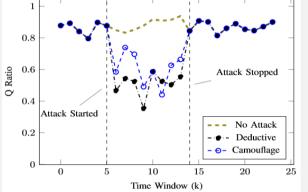
2014

2016

Micro Model: highly accurate and fine grained-anomaly detection \Box LSTM, Folded Gaussian Trust Models

Validation:

Mobility: real data from Nashville, TN Energy: real data from TX and Ireland

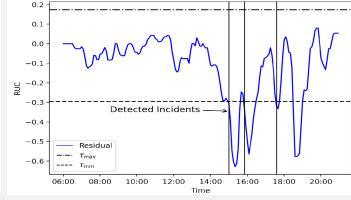


ADratio(t) Omission 0.6 Captured 50 100 150 200 250 Time

1.6

1.4

1.2



Metrics:



AD_{ratio}

RUC

Derived from Pythagorean Means

Anomaly under attacks

Anomaly under data omission

Anomaly under accidents

Products:

- IEEE Trans. on Dependable and Secure Computing 2021
- (3) IEEE Smart Computing Conference 2019
- (5) IEEE Big Data Conference (in preparation)

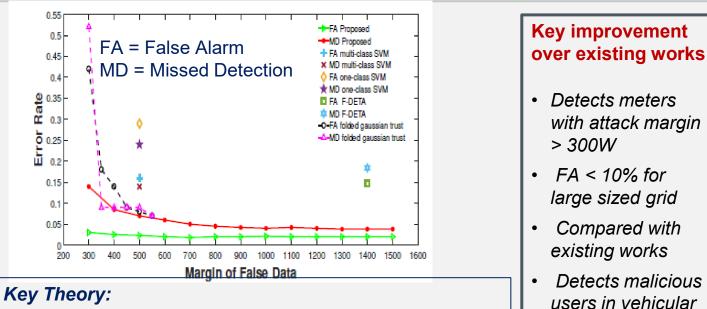
(2) ACM Trans. on Privacy and Security 2021 (4) IEEE Big Data and IoT Security Workshop 2019

300

Task 1.2: Trust Scoring Models

Challenge: *Fast* and *accurate* detection of Compromised Smart Meters, RSUs, users of distributed data falsification attacks.

- Introduction of Attack Responses as Robust Statistical Measures
 - Pythagorean Means and Real Analysis
 - Location Parameter Correction
 - Attack Probability Time Ratio
- Embedding of Responses
 magnify divergence in probability space for information theoretic detection
- Magnified Divergence
 high detection accuracy, reduced false alarms, decreased convergence time under stealthy attacks
- Multi-granular anomaly-based attack detector
 across temporal scales
 better threshold design indicates attack responses



- Folded Gaussian Trust Model (Density based)
- Response Enhanced KL Divergence (Distance based)
- Neuro-cognitive models (Behavioral AI based)

Products:

- (1) ACM Trans. on Privacy and Security, '21 (2) IEEE Trans. on Mobile Computing, '21
- (3) Journal (in preparation for IEEE TIFS)
- (4) IEEE MASS '20

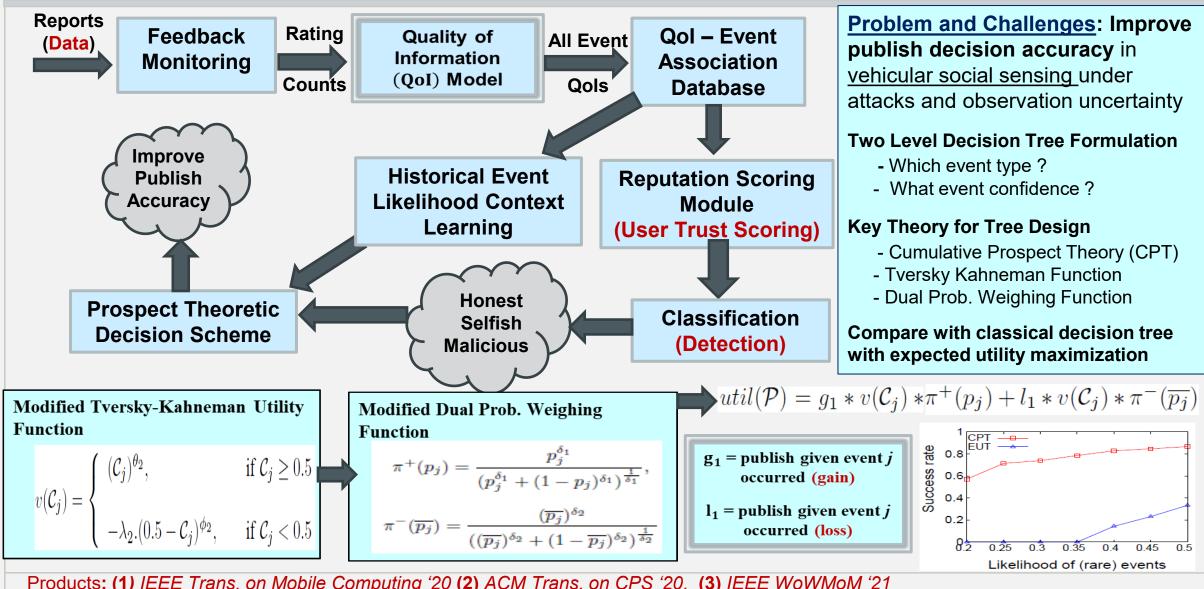
crowdsensing

applications

Broader Impacts:

- Includes closed form approximations and performance limits under attacks
- Validated across big datasets from Texas (800 meters) and Ireland (5000 meters)
- Preliminary efforts show success with other IoT domains (e.g., smart home)

Task 1.3: Trustworthy Decision Making



Products: (1) IEEE Trans. on Mobile Computing '20 (2) ACM Trans. on CPS '20, (3) IEEE WoWMoM '21

Result on Thrust 2: (S. Bhattacharjee, S. K. Das, H. <u>Yamana</u>)

Privacy-preserving Computations using Fully Homomorphic Encryption (FHE)

Tasks:

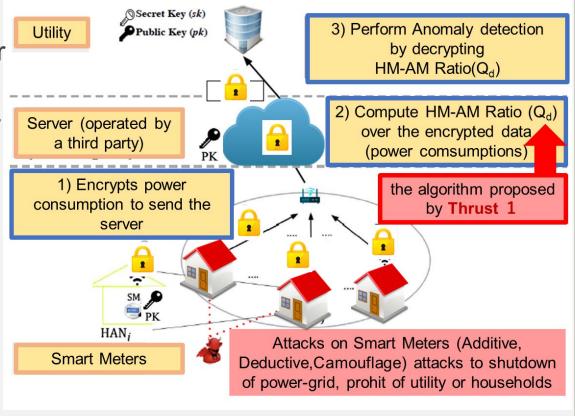
2.1 FHE Calculations with Table Search2.2 Handling Range Search2.3 Applying FHE to Secure Decisions

Preserving Privacy – Goal and Results

- Goal
 - Establishing a privacy-preserving anomaly detection method by adopting both Thrust 1 and "fully homomorphic encryption(FHE)," which has not been possible in the past.
- Results
 - Enabling 10 sec anomaly detection for by our proposed special optimization (Method 1)
 - Enabling 5 min anomaly detection for powergrid by adopting "table search mechanism," which is applicable in various anomaly detection algorithms. (Method 2)

[Note]

- Used 2014 to 2016 power consumption data of 200 households in Texas.
- The requirement for power-grid is every 10 min detection.
- Experiments on single core execution on the server (Intel Xeon E5-1620v4)



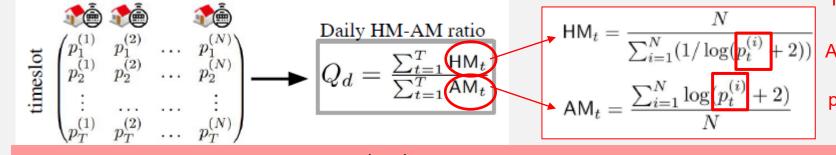
Preserving Privacy – Method 1

Calculation for the anomaly detection

p_i^(j) is sent

to the server

before



HM_t: harmonic mean of all households' power consumption at time t
 AM_t: arithmetic mean of all households' power consumption at time t
 p_i⁽ⁱ⁾: power consumption of household i at time j

Secret Key (sk)

Public Key (pk

Utility

Server (operated by

a third party)

Problems to adopt FHE (HE)

- 1) Logarithm cannot be implemented with FHE (only addition/multiplication are adopted)
- 2) Division with a variable cannot be implemented (cannot calculate inverse)

Logarithms and divisions are required to calculate Q_d

after idea 1) eliminating the calculation of logarithms at the server

Besides $p_t^{(i)}$, $\log(p_t^{(i)} + 2)$ and $1/\log(p_t^{(i)} + 2)$ are sent to the server

idea 2) eliminating the calculation of divisions at the server

Instead of sending $Enc(Q_d)$, $Enc(AM_t)$ and $Enc(HM_t)$ from the server to the utility, separately. Then, the utility will decrypt them to calculate Q_d

[1]Y. Ishimaki, <u>S. Bhattacharjee</u>, <u>H. Yamana</u> and <u>S. K. Das</u>, "Towards Privacy-preserving Anomaly-based Attack Detection against Data Falsification in Smart Grid," 2020 IEEE Int'l Conf. on Communications, Control, and Computing Tech. for Smart Grids, pp. 1-6, 2020. Int'l co-authorshipMethod1 Proposal [2] Y. Ishimaki and <u>H. Yamana</u>, "Faster Homomorphic Trace-Type Function Evaluation," in IEEE Access, vol. 9, pp. 53061-53077, 2021 Speedup methods

Preserving Privacy – Method 2

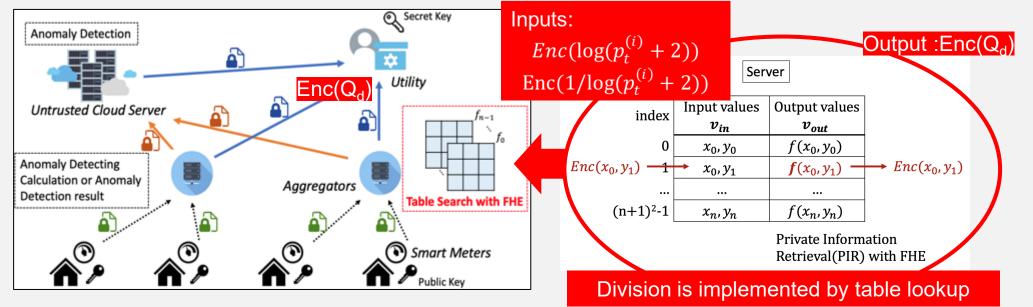
Problems to adopt FHE (HE)

1) Logarithm cannot be implemented with FHE (only addition/multiplication are adopted)

2) Division with a variable cannot be implemented (cannot calculate inverse)

idea : replacing f(x, y,) to calculate Q_d to table lookup

Table lookup with a non-colluding server to adopt any kinds of calculations at the server (aggregators).

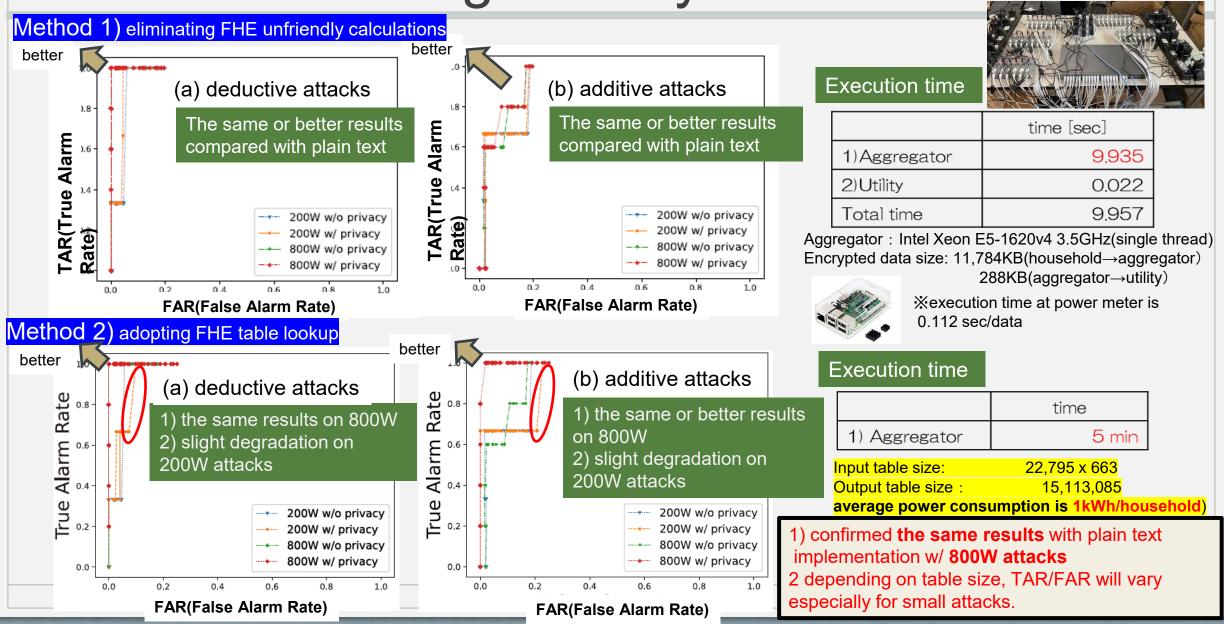


[3] Ruixiao Li, Yu Ishimaki and Hayato Yamana, "Fully Homomorphic Encryption with Table Lookup for Privacy-Preserving Smart Grid," Proc. of the 3rd IEEE International Workshop on Big Data and IoT Security in Smart Computing, pp.19-24 (2019.6) supported one input version

[4] Ruixiao Li, Yu Ishimaki, Hayato Yamana, "*Privacy Preserving Calculation in Cloud using Fully Homomorphic Encryption with Table Lookup*," Proc. of the 5th IEEE International Conference on Big Data Analytics (ICBDA2020), pp.315-322 (2020.05) supported any number of inputs version

[5] Ruixiao Li and Hayato Yamana, *Fast and Accurate Function Evaluation with LUT over Integer-based Fully Homomorphic Encryption*, Proc. of the 35th International Conference on Advanced Information Networking and Applications (AINA-2021), pp.620-633 (2021.5) supported any input value version / adopting approximation for inputs to select the best entry in the table

Preserving Privacy: Evaluation



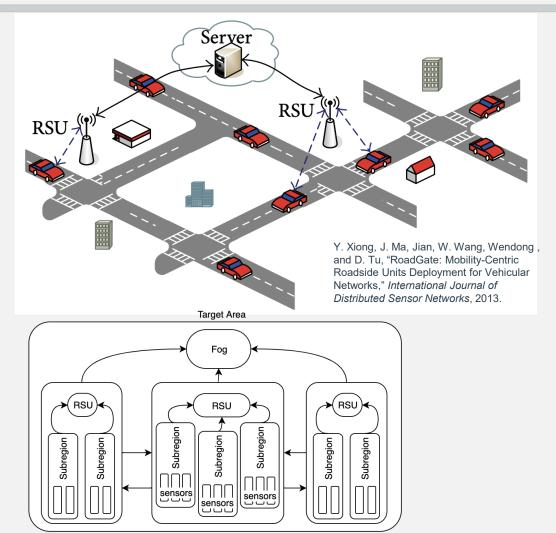
Thrust 3 Results:

(Abhishek Dubey, S. K. Das, S. Bhattacharjee, K. Yasumoto)

Security and Performance Tradeoff

Thrust 3 : Anomaly Detection & Performance Tradeoff

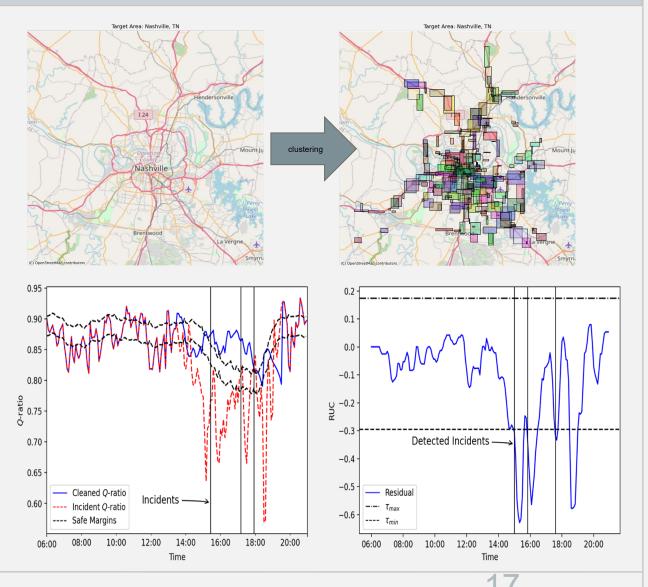
- Detect anomalies in real-time in transportation and energy data collected from urban areas.
- Correlated anomalies with incidents in urban transportation network.
- Data used:
 - Road segment and traffic mobility data
 - Traffic incidents: Nashville Police, Fire department data and Waze data
 - ✤ Weather data



Proposed architecture for distributed anomaly detection

Thrust 3: Clustering Approach

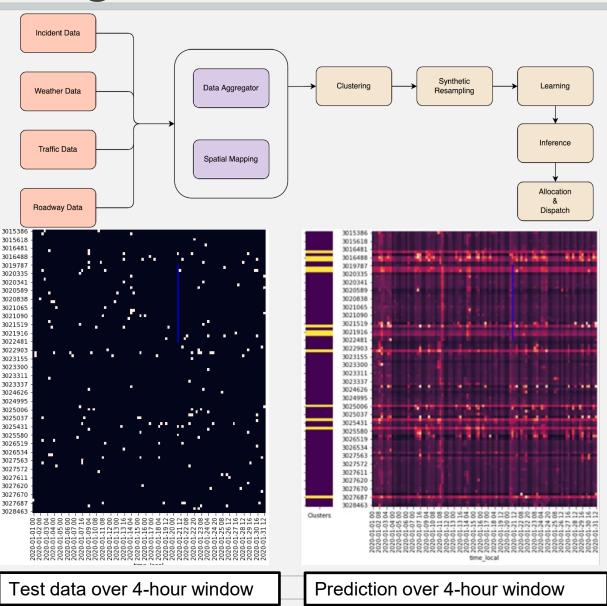
- Use clustering and statistical ratios to identify sudden changes.
- Averaged 2019 speed data into 7 day of week data and used only weekends
- Cluster segments using different spatio-temporal granularities
 - Spatial: Max distance
 between segments
 Temporal: Resampling
 speed data



Thrust 3: Predicting Anomalies

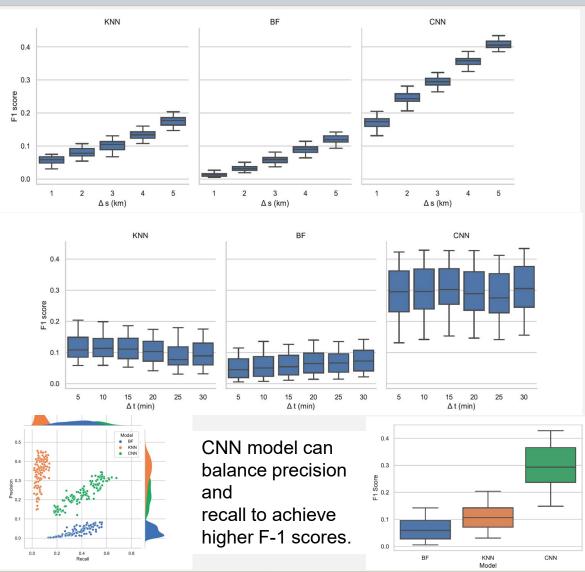
Challenges

- **Big Data:** Many factors are involved in road accidents, which requires collecting various types of data from assorted resources with different resolution and quality.
- **Sparsity:** Although frequency of road accidents is high, when viewed from the perspective of total time and space, incidents are rare events.
- Irregularity: Accidents are random in nature, especially in high spatial-temporal resolution



Thrust 3: Uncertainty Handling

- **Challenge** Uncertainty of data. This requires fine tuning of the detection thresholds.
- Spatial discretization Reduces precision but improves robustness
- Temporal discretization Reduces usefulness of detection metric. But high temporal resolution reduces recall.
- Solution Uses pareto optimization with CNNs (convolutional neural networks)



Thrust 4 Results:

(Abhishek Dubey, Keiichi Yasumoto)

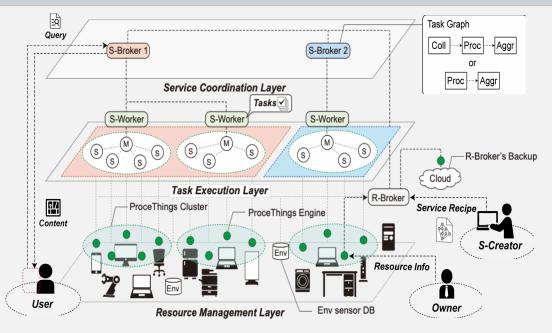
Developing Secure and Trustworthy Middleware Architecture

Tasks:

4.1 Distributed Aggregation4.2 Secure Anonymization4.3 Decision Making under Trade-offs

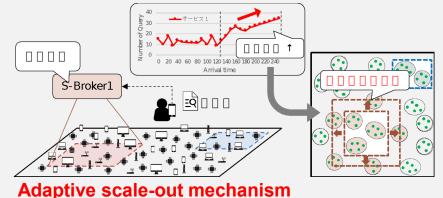
Task 4.1: Distributed Aggregation

Proposed a novel middleware framework for Smart and Connected Communities that distributes security features across proposed tasks and incorporates privacy, trustworthiness, resource constraints, and distributed decision support.



Middleware Platform [MUSICAL2021]

Developed a middleware platform for SCC apps through distributed processing among edge nodes [MUSICAL 2021]



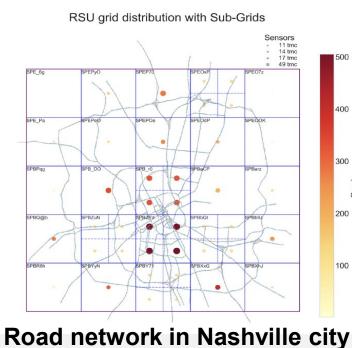
the number of edge nodes is increased as necessary

Smart Mobility App Developed on Middleware

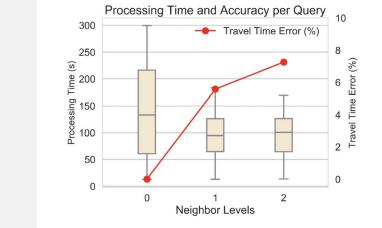
Distributed route planning was implemented on the middleware [IEEE Access 2021]

For evaluation, emulation environment was constructed

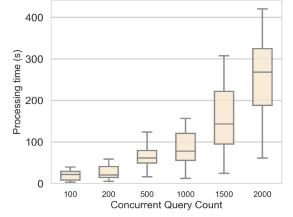
- 49 Docker containers corr. to RSUs were virtually deployed over the 25 grids
- Each RSU running the middleware uses real traffic data to compute the shortest time paths
- "adaptive scale-out" is applied to the heavy-loaded grids (tasks are off-loaded to neighboring grids)



divided into 25 grids



Effect of Concurrent Query Count on Query Processing Time

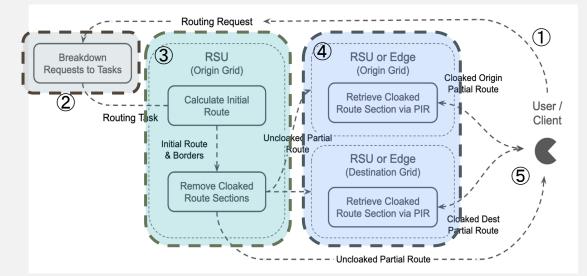


Query response time for 1000 queries (neighbor level means # hops for offloading tasks)

Offloading (adaptive scale-out) enabled a great reduction of resp. time Response time for 100 to 2000 queries (Neighbor level = 1) Up to 2000 queries can be processed in practical time

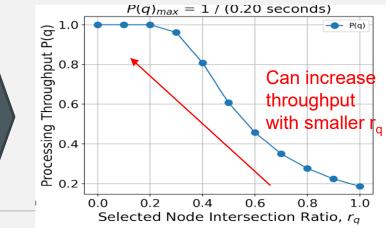
Task 4.2: Secure Anonymization

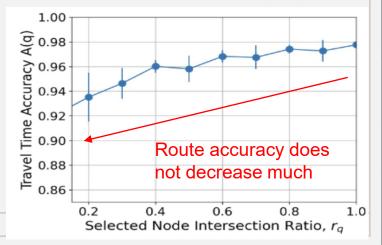
Developed a secure anonymization mechanism based on APSP/PIR for smart mobility apps that allows users to get a query result securely without revealing origin/dest. points [SmartComp2021]



- (i) User sends a query with blurred origin & dest. points (in grid level) to Sbroker
- (ii) S-broker assign tasks for computing sub-shortest-paths to grids (RSUs) along the grid sequence
- (iii) Each intermediate grid computes the shortest paths between border intersections
- (iv) Source & dest. grids compute APSP (all-pairs-shortest-paths) between all intersections
- (v) User gets sub-shortest paths (PIR is used to retrieve the paths for the source and destination grids) and concatenate them

- Applying APSP/PIR to all queries could cause some edge nodes (e.g., center grids) to be heavily loaded
- Reducing num. intersections can improve the throughput while keeping accuracy high enough.





Task4.3: Decision Making under Trade-offs

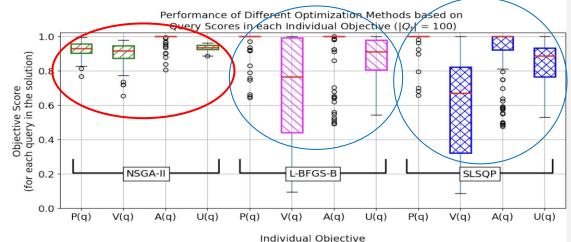
Developed a tradeoff mechanism that balances query throughput, route accuracy and

privacy protection level [SmartComp2021]

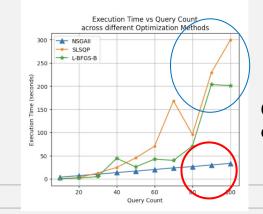
We formulated multi-objective optimization problem and developed NSGA-II based algorithm

Set of queries at time slot t Maximize $(P(Q_t), V(Q_t), A(Q_t))$) s.t. (3)Query throughput Privacy level Route accuracy $\forall g \in G, |\{q \mid q \in Q_t, src(q) = g \lor dst(q) = g\}| \le Cap(g)$ (3)#queries processed at each RSU is limited $P(Q_t) = \frac{\sum_{q \in Q_t} \mathcal{P}(q) \cdot H_P(q)}{\sum_{q \in Q_t} \mathcal{P}(q) \cdot H_P(q)}$ $\mathcal{P}(q) = \frac{1}{\mathbf{1}^{\mathbf{T}} \cdot [R(\mathbf{g}_{\mathbf{q}}) + I(\mathbf{g}_{\mathbf{q}}) + C(\mathbf{g}_{\mathbf{q}})]}$ Throughput = 1÷(route calc. + PIR calc. + delay) $A(Q_t) = \frac{\sum_{q \in Q_t} \mathcal{A}(q)}{\cdot} \cdot H_A(q)$ $\mathcal{V}(q) = \mathbf{1}^{\mathbf{T}} \cdot \left[(\mathbf{a}_{\mathbf{q}}^{\gamma} \odot \mathbf{v}_{\mathbf{q}}') \cdot C_{lp} \right]$ Privacy level = area size x cover rate x coefficient Aggregate by multiplying User preference Hx $\mathcal{A}(q) = \mathbf{1}^{\mathbf{T}} \cdot M(\mathbf{v}_{\mathbf{q}}, \mathbf{r}_{\mathbf{q}})$ Accuracy = computed by #intersections and cover rate

Conducted simulations using Osaka city traffic data from T5.1



Ours (NSGA-II) outperforms others in all objectives: P, V, A, U P: throughput, V: privacy level, A: accuracy, U: average utility



Our method outperforms others in execution time

Thrust 5 Results:

(A. Dubay, Hirozumi Yamaguchi)

Validation with Real Datasets

Tasks:

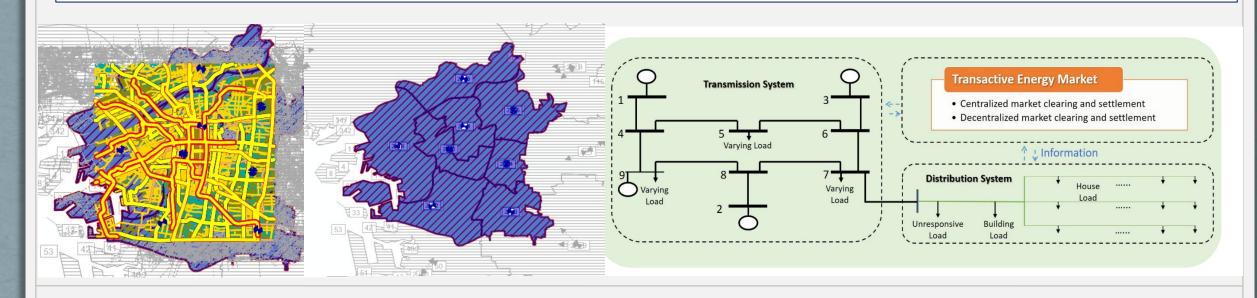
5.1 Smart Transportation Application 5.2 Smart Energy Application

Validation with Real Datasets

Objective : Validate the proposed models and approaches using smart mobility and smart energy distribution / consumption scenarios with real-world datasets

Approach :

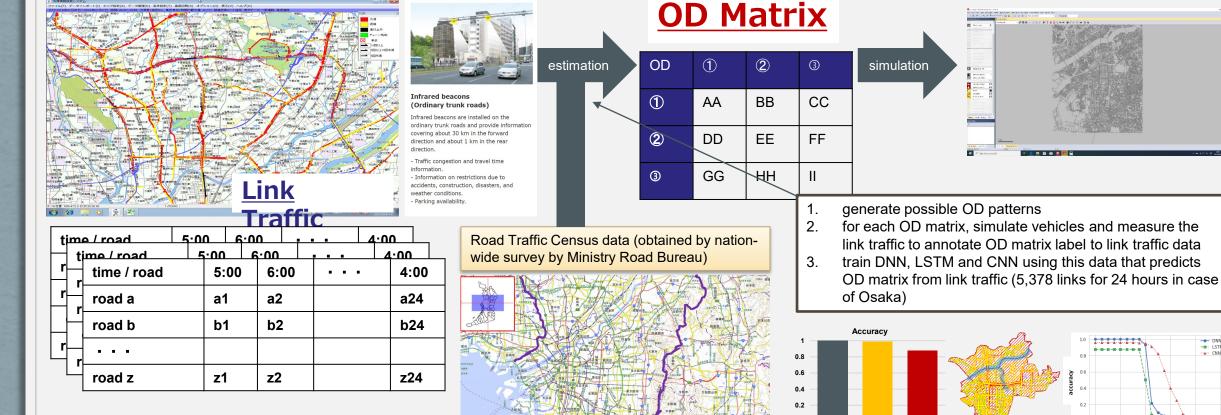
- Generate large-scale mobility data from real datasets in Osaka
- Design a transactive energy testbed that can integrate energy market data



Task 5.1: Smart Transportation Application

VICS (obtained via IR beacons) : contains queue length of major city roads and highways (Osaka prefecture whole region)

s simulated using real dataset



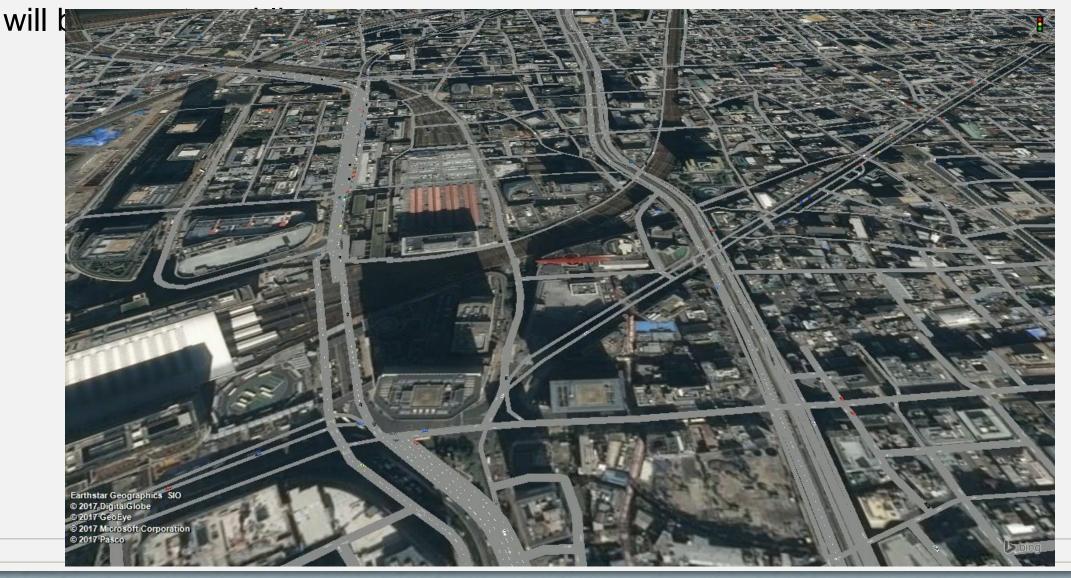


CNN

LSTM

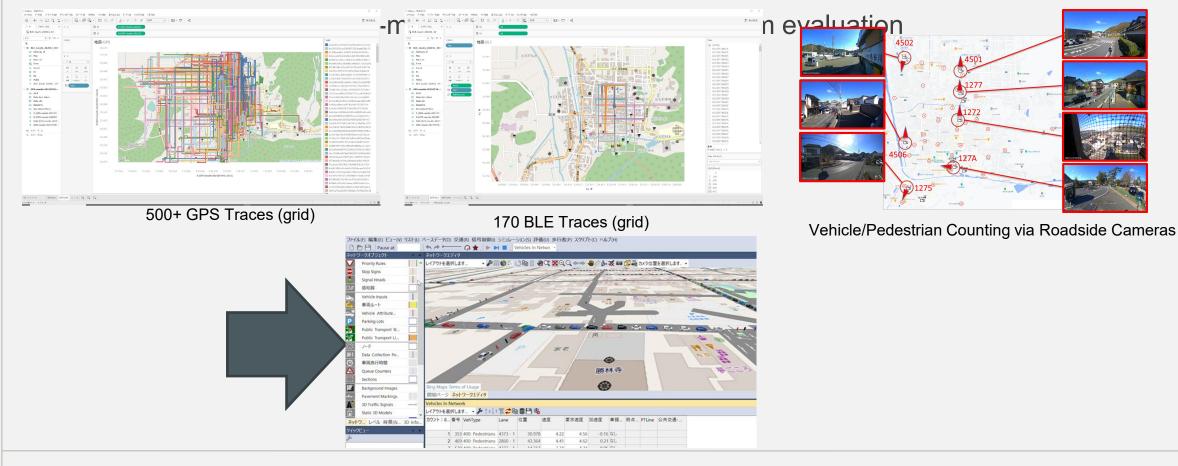
Simulated Vehicles in Osaka Downtown

• Wi



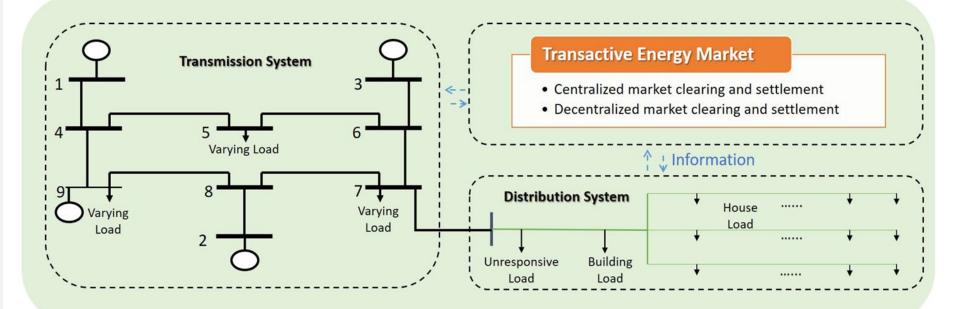
Simulation with Vehicles and Pedestrians

- generating vehicle/human mobility data of Toyooka city (Hyogo prefecture)
 - using anonymized GPS/BLE traces as well as link traffic volume data from the city



Task 5.2 Smart Energy Application

Transactive Energy Testbed



Architecture of TESST



STEAM Project: Broader Impacts

Interdisciplinary Education and Experiential Learning for Students:

- Prithwiraj Roy and Venkata Praveen Madhavarapu (Missouri S&T), PhD - graduating in fall 2021
- Michael Wilbur; Geoff Pettet (Vanderbilt Univ.)
- Yu Ishimaki; Ruixiao Li (Waseda Univ.) graduated
- Jose Paolo Talusan; Francis Tiausas (NAIST)
- S. Choochotkaew; Yuki Akura (Osaka Univ.)

> Integration of Research into Courses:

- Missouri S&T: Developed and taught a new course on *Advances in CPS Security*, spring 2021.
- Vanderbilt Univ.: Incorporated anomaly detection module in *Reliable Distributed Systems*, fall 2019.
- WMU: Covered CPS and smart grid security in *Science of Cybersecurity*, spring 2019.

Student Visit Exchanges:

- Y. Ishimaki (Waseda) visited MST in Aug-Sep 2018 for one month, and WMU for 2 weeks in June and Oct 2019.
- V. P. Madhavarapu and P. Roy (Missouri S&T) visited WMU for 4 weeks in July and Aug 2019, respectively.
- J. P. Talusan (NAIST) visited Vanderbilt for 3 weeks in June 2019.
- M. Wilbur (Vanderbilt) visited WMU for 1 week in 2019.

> Outreach Activities:

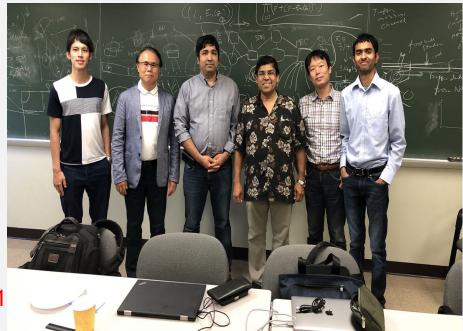
- **Organized** Big Data and IoT Security (BITS) workshop in conjunction with IEEE SmartComp 2019-2021.
- Organized Science of Smart City Operations and Platforms Engineering (SCOPE) workshop during CPS-IoT Week, 2019.
- Led to two NSF projects from CNS and SaTC programs (MST, WMU).
- Supported UG students in research.; mentored high school students.
- Das delivered Keynote Talks at various conferences.

Coordination and Collaboration

- Bi-weekly Skype/Zoom meeting; Very coherent group
- NUmerous joint publications by PIs and their students
- Co-organization of BITS and SCOPE workshops
- Planned Vision Paper: Security in Integrated Energy and Mobility
- Planned Special Issue Editing: Magazine and/or Journal

All Hands Meeting:

- <u>Missouri S&T</u>: Sept 14-15, 2018
- Tokyo, Japan: Oct 26-27, 2018 (JUNO2 Kick-off Meeting)
- <u>Kyoto, Japan</u>: March 11-14, 2019 (IEEE PerCom)
- <u>Washington, DC</u>: June 12-14, 2019 (IEEE SmartComp)
- Chicago: Oct 11, 2019 (JUNO2 PI Meeting)
- Bologna, Italy: June 20-23, 2020 (IEEE SmartComp) NO
- Nara, Japan: January 5-8, 2021 (ACM ICDCN) NO due to Covid-1



September 2018 (Missouri S&T)

(Collaborative) Publications

- 1. <u>S. Roy</u>, N. Ghosh, and <u>S. K. Das</u>, "bioSmartSense: A Bio-inspired Data Collection Framework for Energy-efficient, Qol-aware Smart City Applications," 17th Annual IEEE International Conference on Pervasive Computing and Communications (PerCom), Kyoto, Mar 2019.
- 2. Y. Nishimura, A. Fujita, A. Hiromori, H. Yamaguchi, T. Higashino, A. Suwa, H. Urayama, S. Takeshima and M. Takai, "A Study on Behavior of Autonomous Vehicles Cooperating with Manually-Driven Vehicles," 17th Annual IEEE PerCom, pp. 212-219, Kyoto, Mar 2019.
- 3. J. P. Talusan, K. Yasumoto, et al, "Evaluating Performance of In-Situ Distributed Processing on IoT Devices by Developing a Workspace Context Recognition Service," *IEEE PerCom Workshop*, Kyoto, Mar 2019.
- 4. H. Yamaguchi, "Toward Urban Vehicle Mobility Modeling in Japan," 4th International Science of Smart City Operations and Platforms Engineering Workshop (SCOPE), pp. 1-6, 2019.
- 5. R. Li, Y. Ishimaki and H. Yamana, "Fully Homomorphic Encryption with Table Lookup for Privacy-Preserving Smart Grid," IEEE BITS2019 Workshop, pp. 19-24, June 2019.
- 6. <u>M. Wilbur</u>, <u>A. Dubey</u>, B. Leão and <u>S. Bhattacharjee</u>, "A Decentralized Approach for Real Time Anomaly Detection in Transportation Networks," 4th IEEE International Conference on Smart Computing (SMARTCOMP), Washington, DC, pp. 274-282, June 2019.
- 7. J. P. Talusan, K. Yasumoto, A. Dubey, S. Bhattacharjee, "Smart Transportation Delay/Resilience Testbed using Information Flow of Things Middleware," IEEE BITS Workshop, 2019.
- 8. <u>Y. Ishimaki</u>, <u>H. Yamana</u>, "Non-Interactive and Fully Output Expressive Private Comparison," *INDOCRYPT*: 355-374, 2018.
- 9. <u>S. Bhattacharjee and S. K. Das</u>, "Detection and Forensics against Stealthy Data Falsification in Smart Metering Infrastructure," *IEEE Transactions on Dependable and Secure Computing*, 18(1): 356-371, Jan/Feb 2021.
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